

# Representations of complex semisimple Lie algebras III: Jantzen conjecture

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# Outline

Kazhdan-Lusztig theory

Hecke alg. •  $\{T_w\}_{w \in W}$

•  $\{C_w\}_{w \in W}$

•  $\bar{C}_w = C_w$

•  $C_w = (-1)^{l(w)}$

3. Proof

higher  
interpretation

- Jantzen filtration
- Embedding problem
- Jantzen conjecture

# I. Jantzen filtration

Recall in the last lecture, we have the Kazhdan-Lusztig conjecture:

## Theorem

In the block  $O_0$  (with infinitesimal character as  $-2\rho$ ), for  $x \leq w$  in  $W$ ,

$$[L_w] = \sum_{x \leq w} (-1)^{l(w)-l(x)} P_{x,w}(1) [M_x]$$

Here  $P_{x,w}(q)$  is so-called Kazhdan-Lusztig polynomial. But, can one have interpretation on the variable  $q$ ?

# Contravariant form

- Recall that we have a transpose map  $\tau$  of  $U(\mathfrak{g})$  with properties:

$$\tau(\mathfrak{h}), \quad \tau(\mathfrak{g}_\alpha) = \mathfrak{g}_{-\alpha}, \quad \tau(\mathfrak{g}_{-\alpha}) = \mathfrak{g}_\alpha$$

eg.  $\mathfrak{g} = \mathcal{M}(n, \mathbb{C})$  matrix alg.  $\tau(X) = X^T$

- A symmetric bilinear form  $(\cdot, \cdot)$  on  $M$  is called contravariant if

$$(u.m_1, m_2) = (m_1, \tau(u).m_2)$$

- $\text{Bil}(M) \cong \text{Hom}_O(M, M^\vee)$
- In particular, there is a canonical contravariant form on  $M(\lambda)$ , which is non-degenerate only if  $M(\lambda)$  is irreducible. Indeed, the kernel of such form is the maximal submodule of  $M(\lambda)$ .

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*Anti-invol.*

- A symmetric bilinear form  $(\cdot, \cdot)$  on  $M$  is called contravariant if  $u \in U(\mathfrak{g})$

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*( $\forall x, u, m_1, m_2 = (m_1, \tau(x).m_2)$ )*

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$(\cdot, \cdot) \mapsto (m \mapsto (m, -))$  define the dual, using  $\tau$ .

- $\text{Bil}(M) \cong \text{Hom}_O(M, M^\vee)$  Here  $(m(x), m'(x)) \rightarrow (a, a)$
- In particular, there is a canonical contravariant form on  $M(\lambda)$ , which is non-degenerate only if  $M(\lambda)$  is irreducible. Indeed, the kernel of such form is the maximal submodule of  $M(\lambda)$ .  $\forall m \in M, (m, m') \neq 0$  for some  $m'$

# The $\mathfrak{sl}(2, \mathbb{C})$ example

$\{H, X, Y\}$   $[H, X] = 2X, [H, Y] = -2Y$   
 $[X, Y] = H$

For  $n \in \mathbb{Z}_{\geq 0}$ , define  $M$  to be a module of basis  $\{v_i\}_{i \geq 0}$  with action:

$\text{Hom}(M, M^V) \cong \mathbb{C}$

$$\left. \begin{aligned} H.v_i &= (n + \underline{T} - 2i)v_i \\ X.v_i &= (n + T - i + 1)v_{i-1} \\ Y.v_i &= (i + 1)v_{i+1} \end{aligned} \right\} \underline{T \text{ variable}}$$

Set  $(v_0, v_0) = 1$ . We can compute  $(v_i, v_i)$  as follows:

$$(i+1)(v_{i+1}, v_{i+1}) = (Y.v_i, v_{i+1}) = (v_i, \underline{X.v_{i+1}}) = (n + T - i)(v_i, v_i)$$

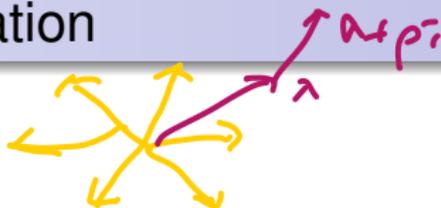
Thus,  $(v_i, v_i) = \frac{(n+T+1-i)\dots(n+T+1-0)}{i!} (v_0, v_0)$ . When  $i \geq n+1$ ,

$$T \mid (v_i, v_i).$$

$\uparrow$   
divides

$$(v_i, v_i) = \prod P(\tau) = P(0) \neq 0$$

# Jantzen filtration



- Let  $T$  be a variable and let  $\mathfrak{g}_T = \mathbb{C}[T] \otimes_{\mathbb{C}} \mathfrak{g}$ . Let  $A = \mathbb{C}[T]$ . Define  $\lambda_T = \lambda + \rho T$ .
- So, for generic  $T$ , we have that  $M(\lambda_T)$  is irreducible. Hence  $\exists$  non-degenerate contravariant form  $(,)$  on  $M(\lambda_T)$ .
- We define

$$M(i) = \left\{ e \in M(\lambda_T) : (e, M(\lambda_T)) \in T^i M(\lambda_T) \right\}.$$

- Note that  $M(\lambda) \cong \overline{M} := M(\lambda_T) / TM(\lambda_T)$ . Define  $M^i$  to be the image of  $M(i)$  in  $\overline{M}$ .
- In previous example,  $M^0 = M(\lambda)$  and  $M^1 = \max$  sub mod

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Let  $T = \nu$

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*(Handwritten notes:  $\sigma(\omega)$  and  $A$  circled in red)*

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- In previous example,  $M^0 = M(\lambda)$  and  $M^1 = \max \text{ sub mod}$

*$\mathcal{A}(2, \mathbb{R})$*

$$M^1 = \overline{\{ v_{n+1}, v_{n+2}, \dots \}} = \max \text{ sub}$$

*(Handwritten notes:  $\overline{\{ v_{n+1}, v_{n+2}, \dots \}} = \max \text{ sub}$ )*

# Jantzen filtration

## Theorem (Jantzen)

The above filtration

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$$\bar{M} = M(\lambda)^0 \supset M(\lambda)^1 \supset M(\lambda)^2 \supset \dots$$

gives that

- each non-zero subquotient  $M(\lambda)^i / M(\lambda)^{i+1}$  has a non-degenerate contravariant form ← comes from the one of  $M(\lambda_T)$
- $M^1$  is the maximal proper submodule of  $M(\lambda)$

There is one more property called Jantzen character sum formula, which we will state later.

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# Radical and socle filtration

- Radical filtration:

$$\text{Rad}^0 M = M \supset \text{Rad}^1 M \supset \text{Rad}^2 M \supset \dots$$

such that  $\text{Rad}^i M / \text{Rad}^{i+1} M$  is maximal semisimple quotient of  $\text{Rad}^i M$ .

- Socle filtration:

$$\text{Soc}^0 M = 0 \subset \text{Soc}^1 M \subset \text{Soc}^2 M \subset \dots$$

such that  $\text{Soc}^i M / \text{Soc}^{i-1} M$  is maximal semisimple submodule of  $M / \text{Soc}^{i-1} M$ .



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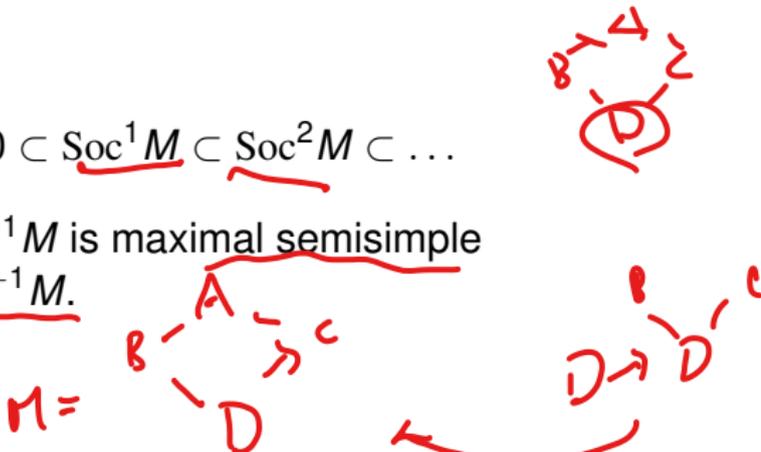
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# Radical and socle filtration

One may ask if radical filtration and socle filtration coincides in general.

Example

Module:

$$\begin{matrix} A \\ B \end{matrix} \oplus C$$

$$\text{Radical} = \begin{matrix} A & & \\ & B & \\ & & C \end{matrix}$$

$$\text{Socle} = B \oplus C \rightarrow \begin{matrix} A \\ B \oplus C \end{matrix}$$

where  $A, B, C$  are simple. Then  $\text{Rad} \neq \text{Soc}$ .

Let  $M$  be a module with unique simple submodule and unique simple quotient. Then the socle filtration of  $M$  agrees with the radical filtration of  $M$  (with suitable relabelling). We shall call such  $M$  to be rigid.

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# Submodule of Verma modules

We have already known that a Verma module has a unique quotient. To show a Verma module is rigid, it remains to show that a Verma module has a unique submodule.

## Proposition

- Suppose  $\lambda$  is antidominant. Then  $M(\lambda)$  is irreducible i.e.  $M(\lambda) = L(\lambda)$ .
- Any Verma module  $M(\lambda)$  has a unique submodule. The unique submodule is isomorphic to  $M(w \cdot \lambda)$  with  $w \cdot \lambda$  antidominant.

To prove uniqueness, one realize  $M(\lambda)$  as  $U(\mathfrak{n})$ , as  $\mathfrak{n}$ -modules. Any two non-zero ideals of  $U(\mathfrak{n})$  have non-zero intersection.

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$$(\lambda + \rho, \alpha^\vee) \leq 0 \text{ for all } \alpha^\vee$$

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*at other  $\lambda$ 's small*

*PBW*

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*$\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{h} \oplus \mathfrak{n}^-$*

## Theorem

- *Each Verma module is rigid.*
- *The Lowey length of  $M_w$  is  $l(w) + 1$ .*
- *The Jantzen filtration coincides with the radical filtration. In particular, each Jantzen layer is semisimple.*
- *The radical filtration is determined by the Kazhdan-Lusztig polynomials:*

$$P_{w_0 w, w_0 x}(q) = \sum_k [\text{Rad}_{l(x,w)-k} M_w, L_w] q^k$$

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# of layers  
- radical filtration  
||  
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*Handwritten notes: A red circle around  $P_{w_0 w, w_0 x}(q)$  with an underline. A red circle around  $\text{Rad}_{l(x, w) - k} M_w, L_w$  with an underline. A red arrow points from the text "multi. plicity = l(L\_w)" to the exponent  $-k$  in the radical index.*

## II. Embedding problem

# Some submodule structure

There are some related problems to above version of Jantzen conjecture. We have mentioned that any Verma module has a unique submodule. More generally, one may ask:

$$\dim \text{Hom}_O(M(\lambda), M(\mu)) = ?$$

Indeed, uniqueness of submodule determines:

- If there is a non-zero map from  $M(\lambda)$  to  $M(\mu)$ , then the map is an embedding.
- $\dim \text{Hom}_O(M(\lambda), M(\mu)) \leq 1$ .

It remains to ask when

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It relies on a notion of 'linked'

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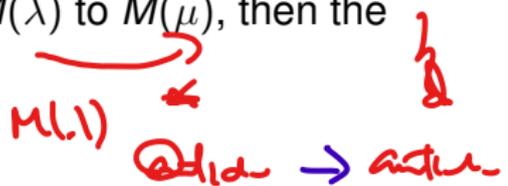
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# Strongly linked

For two weights  $\lambda, \mu \in \mathfrak{h}^*$ ,  $\mu \uparrow \lambda$  if

- $\lambda = \mu$  or
- there is a positive root  $\alpha$  such that  $\mu = s_\alpha \cdot \lambda \leq \lambda$ .

## Definition

We say that  $\mu$  is strongly linked to  $\lambda$  if  $\mu = \lambda$  or there exists positive roots  $\alpha_1, \dots, \alpha_r$  such that

$$\mu = (s_{\alpha_1} \dots s_{\alpha_r}) \cdot \lambda \uparrow (s_{\alpha_2} \dots s_{\alpha_r}) \cdot \lambda \uparrow \dots \uparrow \lambda$$

## Example

In  $\mathfrak{sl}(2, \mathbb{C})$ , for  $k \geq 0$ ,  $-(k+2)\rho \uparrow k\rho$ . As we saw that  $M(k\rho)$  has two composition factors  $M(k\rho)$  and  $M(-(k+2)\rho)$  and

$$M(-(k+2)\rho) \hookrightarrow M(k\rho)$$

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The previous observation can be generalized:

## Theorem

- (Verma) If  $\mu$  is strongly linked to  $\lambda$ , then  $M(\mu) \hookrightarrow M(\lambda)$ . In particular,  $[M(\lambda) : L(\mu)] \neq 0$ .
- (BGG) If  $[M(\lambda) : L(\mu)] \neq 0$ , then  $\mu$  is strongly linked to  $\lambda$ .

- The idea of proving the first one is to reduce to the  $\mathfrak{sl}_2$ -calculation for each step

$$s_{\alpha_j} \cdot \lambda' \uparrow \lambda'$$

The second one needs some new ideas.

- Combine two parts:  $[M(\lambda) : L(\mu)] \neq 0 \Leftrightarrow M(\mu) \hookrightarrow M(\lambda)$

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# Jantzen sum formula

Recall that we have the Jantzen filtration:

$$M(\lambda) = M^0 \supset M^1 \supset M^2 \supset \dots$$

## Theorem

The characters satisfy:

$$\sum_{i>0} \text{ch } M^i = \sum_{\alpha>0, s_\alpha \cdot \lambda < \lambda} \text{ch } M(s_\alpha \cdot \lambda)$$

Application on proving BGG reciprocity: Suppose  $[M(\lambda) : L(\mu)] > 0$  and  $\mu \neq \lambda$ . Then  $[M^1 : L(\mu)] \neq 0$ . Above theorem implies  $[M_{s_\alpha \cdot \lambda} : L(\mu)] \neq 0$ . Induction implies  $\mu$  strongly linked to  $s_\alpha \cdot \lambda$ , and so strongly linked to  $\lambda$ .

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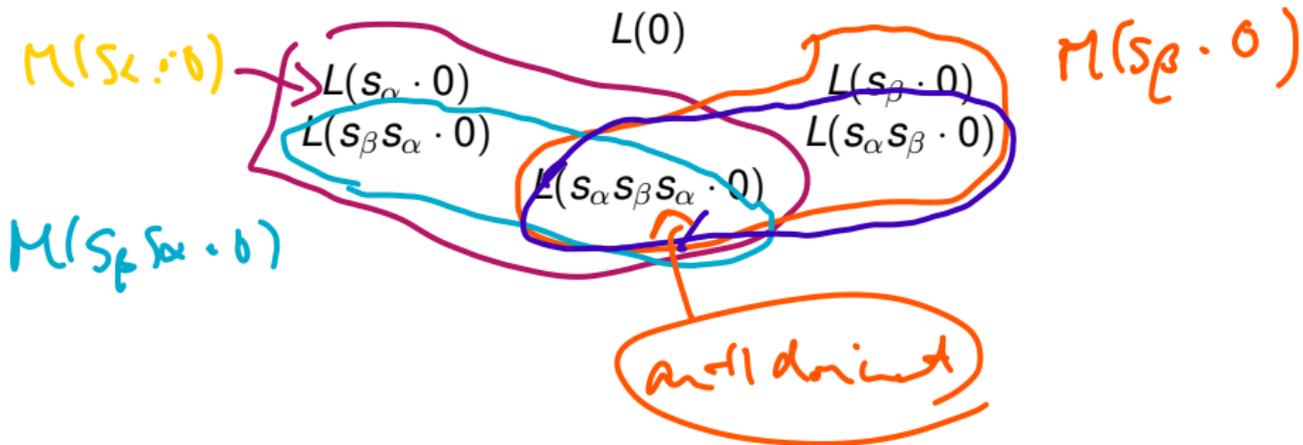
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new paper submitted

$\mu \neq \dots \uparrow s_\alpha \cdot \lambda \uparrow \lambda$

# More examples

Let us look at  $\mathfrak{sl}(3, \mathbb{C})$  case. The Weyl group has order 6. The module structure  $M(0)$  takes the form:



### III. More on Jantzen conjecture

# Jantzen conjecture

Now we ask how Jantzen filtration behaves under the embedding of Verma modules. The following is the original Jantzen conjecture, which is a consequence of JF=RF

## Theorem

Let  $\mu \uparrow \lambda$  in  $\mathfrak{h}^*$ . Consider the embedding

$$M(\mu) \hookrightarrow M(\lambda).$$

Let  $\Phi_{\kappa}^+ = \{\alpha > 0 : s_{\alpha} \cdot \kappa < \kappa\}$ . Let  $r = |\Phi_{\lambda}^+| - |\Phi_{\mu}^+|$ . Then

$$M(\mu) \cap M(\lambda)^i = M(\mu)^{r-i}$$

for  $i \geq r$ . In particular,  $M(\mu) \subset M(\lambda)^r$ .

# Jantzen conjecture

Now we ask how Jantzen filtration behaves under the embedding of Verma modules. The following is the original Jantzen conjecture, which is a consequence of JF=RF

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# Flag variety

We discussed with connection with the geometry of flag variety  $G/B$  last time.

- Let  $G = GL_n(k)$ , where  $k = \mathbb{C}$  or  $\overline{\mathbb{F}}_q$ .
- Let  $B$  be the subgroup of upper triangular matrices.  $G/B$  can be identified with the space consisting of a sequence of linear subspaces of  $k^n$

$$\{ \underline{V_1} \subset \underline{V_2} \subset \dots \subset \underline{V_n} : \underline{\dim_k V_i = i} \}$$

under the correspondence:

$$gB \leftrightarrow \{ \underline{gspan(e_n)} \subset \underline{gspan(e_n, e_{n-1})} \subset \dots \subset \underline{gspan(e_n, \dots, e_1)} \}$$

- As a variety,  $G/B$  is projective.

# Bruhat decomposition

- Recall that  $W = N_G(T)/T$  is the Weyl group. Bruhat decomposition:

$$G = \bigsqcup_{w \in W} BwB$$

which gives a stratification on the  $B$ -orbits on  $G/B$  parametrized by  $W$ :

$$w \in W \leftrightarrow BwB/B$$

- For  $G = GL(2, \mathbb{C})$ ,  $G/B$  is  $\mathbb{P}^1$ .  $BsB/B$  corresponds to the open orbit  $\{[1, y]\} \cong k$ , and  $B/B$  corresponds to the point  $\infty = [1, 0]$ .
- For  $G = GL(3, \mathbb{C})$ ,  $G/B$  has 6  $B$ -orbits.
- The closure relation on  $G/B$ -orbits compatible with the Bruhat ordering on  $W$

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Schubert cells,

$B, B, B$   
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# Little bit on $D$ -modules

- For  $G = \mathrm{SL}(2, \mathbb{C})$  case,  $X = G/B = \mathbb{P}^1$ .  $G$  acts by the transformation

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot x = \frac{ax + b}{cx + d}$$

$[x; 1]$

- For functions on  $X$ , by taking differentiating one obtains corresponding  $\mathfrak{g}$ -action e.g. take  $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ . Then

$$\begin{pmatrix} 1 & t \\ & 1 \end{pmatrix} \cdot z = \frac{z}{1 + tz} \Rightarrow (e \cdot \psi)(z) = -z^2 \frac{d}{dz} \psi$$

- This is how may construct modules from functions on  $X$ .
- One consider the 'sheaf version': that is  $D$ -modules, and taking globalization (roughly) gives equivalence corresp. on  $U(\mathfrak{g})$ -modules.

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# Weight filtration on the geometry side

Let us briefly explain the idea of a proof of Jantzen filtration, due to Beilinson-Bernstein. Recall that to establish the KL conjecture, one needs:

- $\mathfrak{g}$ -modules  $\overset{\text{RH corr.}}{\longleftrightarrow}$
  - D-modules on  $G/B$   $\overset{\text{BB corr.}}{\longleftrightarrow}$
  - perverse sheaves on  $G/B$   $\longleftarrow$  *other interpretation*
- glob. K.* (with arrows pointing to the first two items)

The variety  $G/B$  is defined over  $\overline{\mathbb{F}}_q$ , and one has a Frobenius operator  $\text{Fr}$  acting on the variety. For a stalk of a sheaf of  $G/B$ ,  $\text{Fr}$  acts by  $(q^n)^{w/2}$ . ( $n$  is fixed, but  $w$  depends on  $\mathcal{F}_x$ .  $w$  defines a graded, called weight. The perverse sheaves corresponding to Verma modules admit a weight filtration. BB shows it agrees with Jantzen filtration.

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*radical of  $H^0$*

# What generalizations?

We basically consider complex semisimple Lie algebra  $\mathfrak{g}$ . How about if we study Lie groups  $G$ ?

Like  $\mathfrak{g}$ , we first need a suitable class of representations of  $G$ .

Let  $K$  be the maximal compact subgroup of  $G$  with Lie algebra  $\mathfrak{k}$ .

## Definition

A  $\mathfrak{g}$ -module  $M$  is said to be a  $(\mathfrak{g}, K)$ -module if

- for any  $m \in M$ ,  $x \in \mathfrak{g}$ ,  $k \in K$ ,
- $\frac{d}{dt} \exp(tX).m|_{t=0} = X.m$ ,
- ( $K$ -finiteness) for any  $m \in M$ ,  $K.m$  is finite-dimensional.

The definition seemingly comes from Lie algebra only, but there is a natural way to construct Lie group representation by completion of the underlying space.

# Another generalization



One may a larger class of representations of  $\mathfrak{g}$ . Let  $\mathfrak{p} = \mathfrak{l} + \mathfrak{u}$  be a parabolic subalgebra with Levi subalgebra  $\mathfrak{l}$  and unipotent part  $\mathfrak{u}$ .

## Definition

$\mathcal{O}^{\mathfrak{p}}$  is a full subcategory of  $\text{Mod}U(\mathfrak{g})$  whose objects  $M$  satisfy:

- $M$  is finitely-generated  $U(\mathfrak{g})$ -module;
- as  $U(\mathfrak{l})$ -module,  $M$  is sum of finite-dimensional  $\mathfrak{l}$ -modules;
- $M$  is locally  $\mathfrak{u}$ -finite.